



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DENNIS KWAN

Application No.: 09/851,734

Filed: May 8, 2001

For: SOFTWARE MODEM ARCHITECTURE

Art Group:

Examiner:

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R.

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure. Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: ____

Tarek N. Fahmi, Reg. No. 41,402

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (408) 947-8200

Monique M. Pearson

Date

Substitute for form 1449A/PTO

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

	Complete if Known
Application Number	09/851,734
Filing Date	May 8, 2001
First Named Inventor	Dennis Kwan
Group Art Unit	
Examiner Name	

(use as many sheets as necessary)

Sheet 1 of

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

2 Attorney Docket Number

4939P001

Î	U.S. PATENT DOCUMENTS						
ļ	Examiner Initials*	Cite No.¹			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Γ	5,778,059 I		Loghmani et al.	07-07-1998			
			5,953,741		Evoy et al	09-14-1999	
L			5,911,041		Schaffer	06-08-1999	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.¹	Fon	eign Patent Docu	ment	Name of Patentee or Applicant of Cited Document	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
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'Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

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Substitute	for form 1449A/PTO		MADEMARK	Complete if Known		
			CURE	Application Number	09/851,734	
INFO	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	May 8, 2001	
STAT				First Named Inventor	Dennis Kwan	
				Group Art Unit		
	ı	_		Examiner Name		
Sheet		of	2	Attorney Docket Number	4939P001	

OTHER ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
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